NOV 03 2003
PATENT & TRADEMARK OFFICE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Robert M. Rauker et al.

Serial No.: 10/630,308 Examiner: Unknown

Filed: July 30, 2003 Group Art Unit: Unknown

For: EMBOLIC PROTECTION ASPIRATOR

Docket No.: 1001.1512101

TRANSMITTAL SHEET

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

CERTIFICATE UNDER 37 C.F.R. 1.8: I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 30th day of October, 2003.

By _____
Glenn M. Seager

We are transmitting herewith the attached:

[X] Information Disclosure Statement.

[X] Form 1449 with One Hundred and Thirty (130) references. (Copies of the U.S. Patents are not being submitted pursuant to 37 CFR §1.98(a)(2)(i)).

[X] Postcard receipt.

[X] Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

By: _____
Glenn M. Seager, Reg. No. 36,926
Customer No. 28075

CROMPTON, SEAGER & TUFTE, LLC
1221 Nicollet Avenue, Suite 800
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PATENT

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In re Application of: Robert M. Rauker et al.

Serial No.: 10/630,308 Examiner: Unknown

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Docket No.: 1001.1512101

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Alexandria, VA 22313-1450

CERTIFICATE UNDER 37 C.F.R. 1.08: The undersigned hereby certifies that this paper or papers, as described herein, are being deposited in the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 30th day of October, 2003.

By _____
Glenn M. Seager

Dear Sirs:

INFORMATION DISCLOSURE STATEMENT

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R 1.56, the documents listed on the attached PTO-1449 are hereby disclosed.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

Some of the copies of the documents listed on the 1449 Form are either U.S. Patents or U.S. Application Publications, upon which Applicant relies for the benefits provided in 35 U.S.C. §120. Therefore, under the provisions of 37 CFR §1.98(a)(2)(i), copies of these documents are not being submitted herewith. Additional documents listed

on the 1449 Form are either foreign patents or articles, upon which Applicant relies for the benefits provided in 35 U.S.C. §120. Copies of these references are enclosed.

Applicant respectfully requests that the Examiner consider the documents listed on the 1449 Form and indicate that they were considered by making appropriate notations on the attached 1449 form.

Respectfully submitted,

Dated: Oct. 30, 2003

Glenn M. Seager, Reg. No. 36,926
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FORM 1449*

INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION

(Use several sheets if necessary)

Docket Number:	Application Number:
1001.1512101	10/630,308
Applicant: Robert M. Rauker et al.	
Filing Date: July 30, 2003	Group Art Unit: Unknown

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U.S. Patent Documents

Examiner Initial	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS
	6,596,011	07/22/03	Johnson et al.		
	6,569,148	05/27/03	Bagaoisan et al.		
	6,406,471	06/18/02	Jang et al.		
	6,346,116	02/12/02	Brooks eet al.		
	6,344,049	02/05/02	Levinson et al.		
	6,319,268	11/20/01	Ambrisco et al.		
	6,309,399	10/30/01	Barbut et al.		
	6,306,163	10/23/01	Fitz		
	6,290,710	09/18/01	Cryer et al.		
	6,287,321	09/11/01	Jang		
	6,280,413	08/28/01	Clark et al.		
	6,277,139	08/21/01	Levinson et al.		
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	6,270,513	08/07/00	Tsugita et al.		
	6,270,477	08/07/01	Bagaoisan et al.		
	6,264,672	07/24/01	Fisher		
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	6,258,115	07/10/01	Dubrul		
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	6,245,088	06/12/01	Lowery		
	6,245,087	06/12/01	Addis		
	6,238,412	05/29/01	Dubrul et al.		
	6,235,045	05/22/01	Barbut et al.		
	6,235,044	05/22/01	Root et al.		
	6,231,544	05/15/01	Tsugita et al.		
	6,224,620	05/01/01	Maahs		
	6,221,006	04/24/01	Dubrul et al.		

EXAMINER:	DATE CONSIDERED:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.

*Substitute Disclosure Statement Form (PTO-1449)

FORM 1449*	Docket Number:	Application Number:
INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION	1001.1512101	10/630,308
(Use several sheets if necessary)	Applicant: Robert M. Rauker et al.	
	Filing Date: July 30, 2003	Group Art Unit: Unknown

PTO JC52 NOV 03 2003 PATENT & TRADEMARK OFFICE

U.S. Patent Documents					
Examiner Initial	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS
	6,214,026	04/10/01	Lepak et al.		
	6,206,868	03/24/01	Parodi		
	6,203,561	03/20/01	Ramee et al.		
	6,179,861	01/30/01	Khosravi et al.		
	6,179,859	01/30/01	Bates et al.		
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	6,152,947	11/28/00	Ambrisco et al.		
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	6,142,987	11/07/00	Tsugita		
	6,136,016	10/24/00	Barbut et al.		
	6,135,991	10/24/00	Muni et al.		
	6,129,739	10/10/00	Khosravi		
	6,117,154	09/12/00	Barbut et al.		
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	6,059,814	05/09/00	Ladd		
	6,053,932	04/25/00	Daniel et al.		
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FORM 1449*	Docket Number:	Application Number:
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	Applicant: Robert M. Rauker et al.	
(Use several sheets if necessary)	Filing Date: July 30, 2003	Group Art Unit: Unknown

U.S. Patent Documents					
Examiner Initial	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS
	6,027,520	02/22/0	Tsugita et al.		
	6,013,085	01/11/00	Howard		
	6,010,522	01/04/00	Barbut et al.		
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	5,947,995	09/07/99	Samuels		
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	5,941,869	08/24/99	Patterson et al.		
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	5,827,324	10/27/98	Cassell et al.		
	5,814,064	09/29/98	Daniel et al.		

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NOV 0 3 2003
PATENT & TRADEMARK OFFICE
JC53

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(Use several sheets if necessary)	Filing Date: July 30, 2003	Group Art Unit: Unknown

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	5,800,525	09/01/98	Bachinski et al.		
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	5,795,322	08/18/98	Bouewijn		
	5,792,157	08/11/98	Mische et al.		
	5,779,716	07/14/98	Cano et al.		
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	5,695,519	12/09/97	Summers et al.		
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	5,462,529	10/31/95	Simpson et al.		
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	5,354,310	10/11/94	Garnie et al.		
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	5,160,342	11/03/92	Reger et al.		
	5,152,777	10/06/92	Goldberg et al.		

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	5,152,771	10/06/92	Sabbaghian et al.		
	5,133,733	07/28/92	Rasmussen et al.		
	5,102,415	04/07/92	Guenther et al.		
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	5,071,407	12/10/91	Termin et al.		
	5,053,008	10/01/91	Bajaj		
	5,011,488	04/30/91	Ginsburg		
	5,002,560	03/26/91	Machold et al.		
	4,998,539	03/12/91	Delsanti		
	4,969,891	11/13/90	Gewertz		
	4,926,858	05/22/90	Giffort, III et al.		
	4,921,484	05/01/90	Hillstead		
	4,921,478	05/01/90	Solano et al.		
	4,873,978	10/17/89	Ginsburg		
	4,807,626	02/28/89	McGirr		
	4,794,928	01/03/89	Kletschka		
	4,790,813	12/13/88	Kensey		
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	4,650,466	03/17/87	Luther		
	4,619,246	10/28/86	Molgaard-Nielsen et al.		
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	4,046,150	09/06/77	Schwartz et al.		
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	3,952,747	04/27/76	Kimmell, Jr.		

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	Applicant: Robert M. Rauker et al.	
(Use several sheets if necessary)	Filing Date: July 30, 2003	Group Art Unit: Unknown

U.S. Patent Documents					
Examiner Initial	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS
	3,472,230	10/19/69	Fogarty		
	B1 4,842,579	10/31/95	Shiber		
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	WO 01/91824	12/06/01	WIPO		
	WO 01/89413	11/29/01	WIPO		
	WO 01/87183	11/22/01	WIPO		
	WO 01/72205	10/04/01	WIPO		
	WO 01/70326	09/27/01	WIPO		
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	WO 01/52768	07/26/01	WIPO		
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	WO 01/49215	07/12/01	WIPO		
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	WO 01/26726	04/19/01	WIPO		
	WO 01/21100	03/29/01	WIPO		
	WO 01/21077	03/29/01	WIPO		
	WO 01/15629	03/08/01	WIPO		
	WO 01/10320	02/15/01	WIPO		
	WO 01/08743	02/08/01	WIPO		

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OIPE JC53 NOV 0 3 2003 PATENT & TRADEMARK OFFICE

FORM 1449* INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION (Use several sheets if necessary)	Docket Number: 1001.1512101	Application Number: 10/630,308
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	WO 01/08742	02/08/01	WIPO		
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	WO 01/05462	01/25/01	WIPO		
	WO 00/67669	11/16/00	WIPO		
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	WO 00/53120	09/14/00	WIPO		
	WO 00/49970	08/31/00	WIPO		
	WO 00/16705	03/30/00	WIPO		
	WO 00/09054	02/24/00	WIPO		
	WO 00/07655	02/17/00	WIPO		
	WO 00/07521	02/17/00	WIPO		
	WO 99/58068	11/18/99	WIPO		
	WO 99/55236	11/04/99	WIPO		
	WO 99/44542	09/10/99	WIPO		
	WO 99/44510	09/10/99	WIPO		
	EP 0 934 729	08/11/99	WIPO		
	WO 99/42059	08/26/99	WIPO		
	WO 99/40964	08/19/99	WIPO		
	WO 99/30766	06/24/99	WIPO		
	WO 99/25252	05/27/99	WIPO		
	WO 99/23976	05/20/99	WIPO		
	WO 99/22673	05/14/99	WIPO		
	FR 2 768 326 A1	03/19/99	FR		English Abstract
	WO 99/09895	03/04/99	WIPO		
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	WO 98/51237	11/19/98	WIPO		
	WO 98/50103	11/12/98	WIPO		
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	WO 98/47447	10/29/98	WIPO		
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	WO 98/36786	08/27/98	WIPO		
	WO 98/34673	08/13/98	WIPO		
	WO 98/33443	08/06/98	WIPO		
	EP 0 852 132 A1	07/08/98	EPO		English Abstract
	WO 98/23322	06/04/98	WIPO		
	WO 98/02084	01/22/98	WIPO		
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	JP 8-187294 A	07/23/96	JP		English Abstract
	WO 96/19941	07/04/96	WIPO		
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	EP 0 696 447 A2	02/14/96	EP		
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	EP 0 655 228 A1	11/22/94	EPO		English Abstract
	WO 94/24946	11/10/94	WIPO		
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	FR 2 666 980	03/27/92	FR		English Abstract
	WO 92/03097	03/05/92	WIPO		
	EP 0 472 368 A2	02/26/92	EP		
	EP 0 472 334 A1	02/26/92	EP		
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	EP 0 293 605 A1	12/07/88	EP		
	FR 2 580 504	10/24/86	FR		English Abstract
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	DE 34 17 738	11/14/85	DE		Translation
	SU 764684	09/25/80	U.S.S.R.		Translation
	UK 2 020 557 B	01/19/83	UK		
	DE 28 21 048	07/17/80	DE		

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

		"Atherosclerotic Disease of the Aortic Arch as a Risk Factor of Recurrent Ischemic Stroke," The New England Journal of Medicine, pp. 1216-1221 (May 1996)

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		"Endovascular Grafts, Stents Drive Interventional Radiology Growth," Cardiovascular Device Update, 2(3):1-12 (March 1996)
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FORM 1449*	Docket Number:	Application Number:
INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION	1001.1512101	10/630,308
	Applicant: Robert M. Rauker et al.	
(Use several sheets if necessary)	Filing Date: July 30, 2003	Group Art Unit: Unknown

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